

DECLARATION (37 CFR 163.) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)



FULL NAME OF INVENTOR(S)

Inventor three: David TARLINTON

Signature: [Signature] Citizen of: Australia

Inventor four: Lynn CORCORAN

Signature: [Signature] Citizen of: Australia

Inventor five: Philip Desmond HODGKIN

Signature: [Signature] Citizen of: Australia

Inventor six: Stephen Laurence NUTT

Signature: [Signature] Citizen of: Australia

Inventor seven:

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Inventor eight:

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Inventor nine:

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Inventor ten:

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

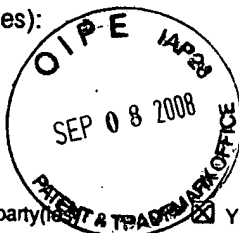
**PATENTS ONLY**

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Axel Kallies  
Jhagvaral Hasbold  
David Tarlinton  
Lynn Corcoran



Additional names(s) of conveying party(ies) ☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: The Walter and Eliza Hall Institute of Medical Research

Address: 1G Royal Parade

City: Parkville State/Prov.: Victoria

Country: Australia ZIP: 3052

Additional name(s) & address(es) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 11/2/06; 10/3/2006; 9/25/06; 11/22/06;  
10/19/06; 10/17/06; respectively

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No. 10/589,321 Filing date August 14, 2006

B. Patent No.(s) \_\_\_\_\_

Additional numbers \_\_\_\_\_

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward W. Grolz

Registration No. 33,705

Address: Scully, Scott, Murphy & Presser, PC

400 Garden City Plaza

Suite 300

City: Garden City State/Prov.: NY

Country: USA ZIP: 11530

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

19-1013/SSMP

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Edward W. Grolz

Name of Person Signing

[Signature]  
Signature

November 22, 2006

Date

Total number of pages including cover sheet, attachments, and document: 4

# ASSIGNMENT

WHEREAS, I/WE

**Axel KALLIES**, a German citizen of 11/14 Robe Street, St. Kilda, Victoria 3182, Australia;  
**Jhagvaral HASBOLD**, an Australian citizen of 3/10, Thea Avenue, North Balwyn, Victoria 3104, Australia;  
**David TARLINTON**, an Australian citizen of 28 Kerr Street, Blackburn, Victoria 3130, Australia;  
**Lynn CORCORAN**, an Australian citizen of 180 Kilby Road, East Kew, Victoria 3102, Australia;  
**Philip Desmond HODGKIN**, an Australian citizen of 50 The Avenue, Coburg, Victoria 3058, Australia;  
**Stephen Laurence NUTT**, an Australian citizen of 16 Mirams Street, Ascot Vale, Victoria 3032, Australia

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in **Modified cells that co-express Blimp 1 and a reporter molecule and methods of using the same**

the specification of which:

- (a) ☐ was executed on even date herewith;  
 (b) ☐ was filed on \_\_\_\_\_ as ☐ Application No \_\_\_\_\_ or  
☐ Express Mail No., as Application No. not yet known \_\_\_\_\_ and was amended on  
 \_\_\_\_\_ (if applicable); or  
 (c) ☒ was described and claimed in PCT International Application PCT/AU2005/000168  
 filed on 11 February 2005 and as amended under PCT Article 19 on \_\_\_\_\_ (if any) and/or  
 under PCT Article 34 on 12 May 2006 (if any).

AND WHEREAS,

**THE WALTER AND ELIZA HALL INSTITUTE OF MEDICAL RESEARCH**, of 1G Royal Parade,  
 Parkville, Victoria 3052, Australia

(hereinafter referred to as Assignee) desires to acquire the entire rights, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

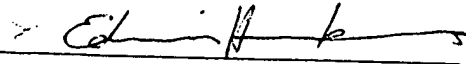
NOW, THEREFOR, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

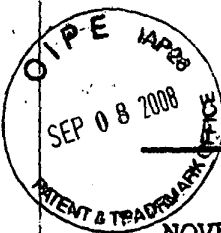
AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 2 day of NOVEM, 2006

  
 Signature of **Axel KALLIES**

  
 Witness



**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 29, 2006

PTAS

**\*700298736A\***

EDWARD W. GROTZ  
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**UNITED STATES PATENT AND TRADEMARK OFFICE  
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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KALLIES, AXEL

DOC DATE: 11/02/2006

ASSIGNOR:

HASBOLD, JHAGVARAL

DOC DATE: 10/03/2006

ASSIGNOR:

TARLINTON, DAVID

DOC DATE: 09/25/2006

ASSIGNOR:

CORCORAN, LYNN

DOC DATE: 11/22/2006

ASSIGNOR:

HODGKIN, PHILIP DESMOND

DOC DATE: 10/19/2006